

Rev. 11/99
Modified PTO 1082
For Other Than A Small Entity



03CO
7-20-01
PATENTS 2/4

Attorney Docket No. NOVA-14

Applicants : Yu-Hwa Lo et al.
Application No.: 09/871,229 Confirmation No. 1700
Filed : May 31, 2001
For : SURFACE-EMITTING LASER DEVICES WITH
INTEGRATED BEAM-SHAPING OPTICS AND
POWER-MONITORING DETECTORS
Group Art Unit : 2881
Hon. Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☐ Amendment under 37 C.F.R. Section 1.312(a);
☐ a Supplemental Amendment; ☐ a substitute Specification; ☐
a Declaration; ☐ a Supplemental Declaration; ☐ a Power of
Attorney; ☐ an Associate Power of Attorney; ☒ formal
drawings; ☐ an Information Disclosure Statement; to be filed in
the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.
☐ A fee for additional claims is required. The additional fee
has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	*	=	x \$9 = \$
INDEPENDENT CLAIMS	-	**	=	x \$40 = \$
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$135 = \$
* If less than 20, insert 20.				TOTAL \$.00
** If less than 3, insert 3.				

☐ A check in the amount of \$ _____ in payment of the filing fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

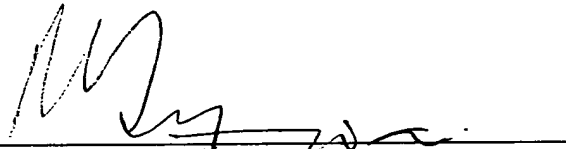
EXTENSION FEE

☐ The following extension is applicable to the Response filed herewith; ☐ \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.17(a)(1); \$195.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.17(a)(2); ☐ \$445.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.17(a)(3); ☐ \$695.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.17(a)(4).

☐ A check in the amount of ☐ \$55.00; ☐ \$195.00; ☐ \$445.00; ☐ \$695.00; in payment of the extension fee is transmitted herewith.

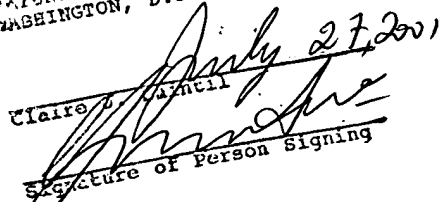
[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge the [] \$55.00; [] \$195.00; [] \$445.00; [] \$695.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



Manu J. Tejwani
Reg. No. 37,952
Attorney for Applicants
c/o FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I Herby Certify that this
Correspondence is being
transmitted with the U.S.
Postal Service as First
Class Mail in an Envelope.
Addressed to: ASSISTANT
COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on


Claire L. Smith
Signature of Person Signing